



Safeguards Training Report

January 19th 2018

Venue: Hill View Hotel, Islamabad

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Workshop Objectives

The workshop was held in Islamabad on the 19th January 2018, with the following objectives:

1. To build awareness on REDD+ Safeguard requirements and processes under the UNFCCC and FCPF;
2. To help facilitate the design of Pakistan's Safeguards Information System (SIS); and
3. Share countries' best practices and lessons learned on meeting REDD+ Safeguard requirements and processes under the UNFCCC and FCPF

Workshop agenda

Time	Item	Facilitator/resource person
9.00 – 9.30 AM	Registration	
9.30 – 9.40 AM	Welcome & Objectives of the Workshop	Daniela Rey, Director, Climate Law and Policy (CLP)
9.40 – 10.20 AM	UNFCCC REDD+ safeguard requirements and guidance, and country's best practices Q&A	Daniela Rey, Director, Climate Law and Policy (CLP)
10.20 – 10.45 AM	Interpretation of the UNFCCC REDD+ safeguards in accordance with Pakistan's national circumstances and associated reporting needs and sources	Sebastien Korwin, International Legal Specialist, CLP
10.45 – 11.00 AM	Tea break	
12.00 – 13:00 PM	Group work: identification of reporting needs and sources	Daniela Rey and Sebastien Korwin, CLP
13.00 – 14.00 PM	Lunch	
14.00 -14.30 PM	Presentation Functions and Institutional arrangements for the SIS	Daniela Rey, Director, CLP
14.30 – 16.00 PM	Plenary guided discussion: defining the functions and institutional arrangements of the SIS	Daniela Rey and Sebastien Korwin, CLP
16.00 – 16.30 PM	Tea break	
16.30 – 17.00 PM	Wrap up for the day	Dr Ghulam Akbar, REDD+ Office



Workshop Facilitation Methods

Methods and Facilitation Plan: Identification of reporting needs and sources

Time	Activity / methodology	Responsible
12:00 - 12:10	<p>Explain methodology and objectives of the working groups</p> <p>Objectives To gather inputs and feedback on reporting needs and sources</p> <p>Contextualization <u>SIS Reporting Needs</u>: refer to ‘what type’ of information is needed to demonstrate the extent to which the Cancun safeguards are being addressed and respected. This type of information can be qualitative (e.g. description) and/or quantitative in nature (e.g. Indicators)</p> <p><u>Addressed</u>: reporting needs that demonstrate what are the [existing or new] governance arrangements [e.g.PLRs, institutions, information systems] in place to ensure that REDD+ activities are implemented in accordance with the country-specific application of the Cancun safeguards.</p> <p><u>Respected</u>: reporting needs that demonstrate what consistency with the safeguards has been achieved during implementation of REDD+ policies and measures? What results have been achieved? For example, percentage of vulnerable groups who have participated in PES programmes.</p> <p><u>Information sources</u>: The information (including monitoring and reporting) systems of a country that could be used to provide information about the safeguards. For example, the Federal Ministry of Climate Change (Federal) maintains the national environmental database.</p> <p>Methodology for Session:</p> <ol style="list-style-type: none"> 1. Will work on groups and will have 3 working stations. Each group should designate a facilitator among the group. 2. There will be 30 minutes to work on groups. 3. Plenary (20m) to share and socialize results from each station. 	Mrs Daniela Rey and Mr Sebastien Korwin

	<p>Process:</p> <ol style="list-style-type: none"> 1. Each group to review the flipcharts in their station. 2. Provide feedback to proposed reporting needs. Please make direct edits/changes using post notes. 3. Please identify potential sources of information, by writing directly on the relevant column. We want you to please identify what information and reporting systems exist at national and provincial level, which you think may be able to provide information in relation to the information needs. Please consider information systems and sources that provide information about the implementation of the relevant legal framework. The information systems and sources of a country are frequently 'housed' in the government institutions which are directly responsible for implementing [or overseeing the implementation] of the relevant legal framework. 4. Group to write down in flipcharts (following columns). 5. Additional column for further feedback and comments. In particular, please give your opinion on: <ul style="list-style-type: none"> - to what extent could the information needs be met through existing information systems and sources? - How can other sources of information contribute to meeting the SIS information needs, for instance, independent monitoring at national or local scale by non-governmental initiatives? Is it feasible to use such sources of information for official monitoring and reporting purposes? 			
<p>Interpretation</p>	<p>SIS Reporting Needs: 'what type' of information is needed to demonstrate how the Cancun safeguards are being addressed and respected?</p>	<p>Potential Reporting sources: Who is expected to Collect information? Is important to consider 'who' is already responsible for the information systems and sources of</p>	<p>Additional Feedback and observations</p>	

		the country when identifying who will be collecting the information	
12:10-12:40	Work in groups		Sebastien Korwin and Mrs Daniela Rey
12:40-13:00	Plenary to share and socialize results from each group		Sebastien Korwin and Mrs Daniela Rey

Methods and Facilitation Plan defining the functions and institutional arrangements of the SIS

Time	Activity / methodology	Responsible	Resources
14:30 - 14:45	<p>Explain methodology and objectives of the working groups</p> <p>Objectives</p> <ul style="list-style-type: none"> To understand and propose the potential functions of the SIS To understand and propose potential institutional arrangements of the SIS <p>Contextualization</p> <p><u>Potential Functions of the SIS:</u></p> <ul style="list-style-type: none"> Compilation and aggregation of information: Since much of the information used in the SIS is likely to be drawn from information that is 'collected' by multiple relevant systems and sources, the SIS would potentially need to compile and aggregate all this information. Analysis of aggregated information: through this function, the SIS will analyse the aggregated information and offer an assessment as to how the country 	Mrs Daniela Rey and Mr Sebastien Korwin	<p>-PPT</p> <ul style="list-style-type: none"> - Flipcharts (each with the key questions we want their feedback and a table to input their thoughts on functions and institutional arrangements). - Cards and markers - Print outs of definitions

	<p>specific safeguards are being 'addressed and respected'.</p> <ul style="list-style-type: none"> ○ Dissemination of information: through this function, the SIS will provide and disseminate the information through appropriate means (e.g. online platform, reports and/or periodic summaries on a regular and proactive basis). <p><u>Institutional arrangements of the SIS:</u> who (for example, government institutions and / or specific institutional arrangements between governmental and non-governmental actors) will be involved in the operation of the SIS, and in particular in performing the different functions of the SIS.</p> <p>Methodology for Session:</p> <ol style="list-style-type: none"> 1. Will work on groups. Each group should designate a facilitator among the group. 2. Each participant can choose in which group they want to be involved. Maximum 5 people per group. 3. There will be 1.5 hours to work on groups. 4. Each group will review and provide feedback on the questions posed, including suggestions for potential functions and institutional arrangements. 5. Plenary to share and socialize results from each group <p>Process:</p> <ul style="list-style-type: none"> • Each group to review and provide feedback on the questions posed, including suggestions for potential functions and institutional arrangements. • Questions are: <ol style="list-style-type: none"> a) Is the SIS expected to be a standalone information arrangement specifically designed for reporting on REDD+ safeguards or will it be part of a broader information system? If the second option is chosen, is this broader system already established or does it need to be built? 		
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	<p>b) What type of quality control /assurance arrangements are needed to ensure the accuracy and comprehensiveness of information compiled and provided by the SIS?</p> <p>c) Which institution/agency should host – and/or be responsible for - the SIS?</p> <p>6. Group to write down in flipcharts their answers.</p> <table border="1" data-bbox="309 752 922 1294"> <tr> <td data-bbox="309 752 504 1111">What should be the key functions of the SIS (Compilation, aggregation, analysis, dissemination, etc.) ?</td> <td data-bbox="504 752 922 1111">Potential Institutional arrangement: What relevant institutions/agencies/stakeholders should be responsible/involved in each function of the SIS?</td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> </table>	What should be the key functions of the SIS (Compilation, aggregation, analysis, dissemination, etc.) ?	Potential Institutional arrangement: What relevant institutions/agencies/stakeholders should be responsible/involved in each function of the SIS?										
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14:45 - 15:40	Work in groups	Sebastien Korwin and Mrs Daniela Rey											
15:40 - 16:00	Plenary to share and socialize results from each group	Sebastien Korwin and Mrs Daniela Rey											



Photographs of the workshop



Resources and Materials utilized

Videos

1. What is REDD+? <https://www.youtube.com/watch?v=D0WeGw3h2yU>
2. REDD+ Safeguards: Experiences from Asia: <https://www.youtube.com/watch?v=OKfaCblyCwY&pbjreload=10>
3. REDD+ Safeguards: experiences from Africa: <https://www.youtube.com/watch?v=StHojcgX5dA>
4. REDD+ Safeguards: experiences from Latin America: <https://www.youtube.com/watch?v=rDy0-tGMemk>
5. REDD+ Finance: Understanding the Green Climate Fund: <https://www.youtube.com/watch?v=L95HMb8Ag9M>

Publications and Materials

1. Strategic and Design Considerations for Designing a Safeguard Information System. A Self-assessment Tool: https://www.climatelawandpolicy.com/files/Publication_SIS_Self_Assessment_Tool.pdf
2. Best Practices and Considerations for the Development of REDD+ Country Approaches to Safeguards and Design of Safeguard Information Systems: https://www.climatelawandpolicy.com/files/Best_Practices_and_Considerations_for_the_Development_of_REDD_Country_Approaches_to_Safeguards_and_Design_of_Safeguard_Information_Systems.pdf
3. REDD+ Finance: <https://www.odi.org/sites/odi.org.uk/files/resource-documents/11030.pdf>
4. Climate Finance: <https://drive.google.com/file/d/1RsRA5UM8ePzg7FpurG6LuSzZT5flgXDE/view>
5. PES: <http://www.biodiversidad.gob.mx/corredor/cbmm/pdf/7-review-pes-experiences-redd.pdf> and <http://www.katoombagroup.org/documents/publications/GettingStarted.pdf>

PPTs

1. Global overview on REDD+ Safeguard requirements and processes under the UNFCCC and FCPF
2. Functions and Institutional arrangements for the SIS
3. Interpretation of the UNFCCC REDD+ safeguards in accordance with Pakistan's national circumstances and associated reporting needs and sources



Key terms

Safeguard Information System: As part of the Warsaw Framework on REDD+, developing countries are required to develop “a system for providing information on how the safeguards ... are being addressed and respected throughout the implementation of [REDD+] activities.”¹ This system is generally referred to as the **Safeguard Information System or ‘SIS’**. However, the UNFCCC does not provide any guidance as to what type of information is expected to be provided to demonstrate how safeguards are being 'addressed' and 'respected' throughout the implementation of their REDD+ activities.²

Potential Functions of the SIS:

- **Compilation and aggregation of information:** Since much of the information used in the SIS is likely to be drawn from information that is 'collected' by multiple relevant systems and sources, the SIS would potentially need to compile and aggregate all this information.
- **Analysis of aggregated information:** through this function, the SIS will analyse the aggregated information and offer an assessment as to how the country specific safeguards are being 'addressed and respected'.
- **Dissemination of information:** through this function, the SIS will provide and disseminate the information through appropriate means (e.g. online platform, reports and/or periodic summaries on a regular and proactive basis).

Institutional arrangements of the SIS: who (for example, government institutions and / or specific institutional arrangements between governmental and non-governmental actors) will be involved in the operation of the SIS, and in particular in performing the different functions of the SIS.

SIS Reporting needs: during the process of designing the SIS, a useful step has been to try to define the types of information that could be provided to demonstrate how each of the Cancun safeguards has been addressed and respected throughout the design and implementation of the REDD+ Strategy in the country (that is, the REDD+ Strategy Options and its associated Policies and Measures-PaMs- if they have been defined). This process is often referred to as '**defining the info/reporting needs of the SIS**'. This type of information can be qualitative (e.g. narrative description) and/or quantitative in nature (e.g. figures).

The reporting needs can be separated into two main categories:

¹ Decision 1/CP.16, paragraph 71(d)

² UNFCCC Decision 12/CP.17 paragraph 3



- **Reporting needs to demonstrate how the Cancun safeguards are being Addressed:** means information describing the [existing or new] governance arrangements [e.g.PLRs, institutions, information systems] in place to ensure that the REDD+ Strategy in the country (that is, the REDD+ Strategy Options and its associated Policies and Measures-PaMs- if they have been defined) implemented in accordance with the Cancun safeguards.
- **Reporting needs to demonstrate how the Cancun safeguards are being Respected:** means information describing how consistency with the safeguards has been achieved during the implementation of the REDD+ Strategy in the country (that is, the REDD+ Strategy Options and its associated Policies and Measures-PaMs- if they have been defined). This information will therefore refer to the outcomes of safeguard measures in place.



List of participants



Safeguard Training Questionnaire

1. How many Cancun safeguards are there?
 - a) 5
 - b) 6
 - c) 7
2. Please give three (3) examples of the type of issues they address?
3. What are the three UNFCCC REDD+ safeguards requirements?
4. To what do the safeguards apply to?
5. When do we start applying safeguards?
6. What is the name of the system for providing information on the implementation of safeguards?
7. Please identify some of the key functions of the SIS?
8. What are the two dimensions of the reporting needs of the SIS?
9. When do we start reporting on safeguards?
10. What does SESA and ESMF stand for?